

03-12-04

10650461 - GAU: 2883



PATENT
Customer No. 22,852
Attorney Docket No. 9140.0025-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
David DAWES) Group Art Unit: 2874
)
Application No.: 10/650,461) Examiner: Not Yet Assigned
)
Filed: August 27, 2003)
)
For: OPTICALLY COUPLING INTO)
HIGHLY UNIFORM WAVEGUIDES)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed, to the undersigned's knowledge, before the mailing date of a first Office Action on the merits for the above-referenced application.

Each document listed on the attached PTO 1449 was cited in a communication in a counterpart Patent Cooperation Treaty application (copy of PCT International Search Report communication enclosed), and this Information Disclosure Statement is being filed within three months of the mailing date printed on that communication.

Copies of the listed documents, including any copending patent applications, are attached. Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

20
ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /P.R./

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

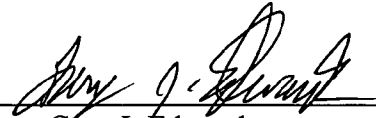
Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

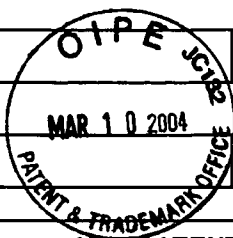
Dated: March 10, 2004

By: 
Gary J. Edwards
Reg. No. 41,008

| |
|---|
| EXPRESS MAIL LABEL NO. EV 398889365 US |
|---|

INFORMATION DISCLOSURE CITATION

| | | | |
|------------------|-----------------|------------|------------|
| Atty. Docket No. | 9140.0025-00 | Appln. No. | 10/650,461 |
| Applicant | David DAWES | | |
| Filing Date | August 27, 2003 | Group: | 2874 |



U.S. PATENT DOCUMENTS

| Examiner Initial* | Document Number | Issue Date | Name | Class | Sub Class | Filing Date If Appropriate |
|-------------------|-----------------|------------|----------------|-------|-----------|----------------------------|
| | 5,689,522 | 11/18/1997 | Beach | 372 | 75 | |
| | 6,052,397 | 04/18/2000 | Jeon et al. | 372 | 46 | |
| | 2003/0063883 | 04/03/2003 | Demaray et al. | 385 | 129 | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |

FOREIGN PATENT DOCUMENTS

| Document Number | Publication Date | Country | Class | Sub Class | Translation Yes or No |
|-----------------|------------------|---------|-------|-----------|-----------------------|
| WO 12/12932 A2 | 02/14/2002 | WIPO | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

| | |
|--|--|
| | Jonsson, L.B. et al., "Frequency response in pulsed DC reactive sputtering processes"; Thin Solid Films, Vol. 356, No. 1, April 2000 (pp. 43-48) |
| | Beach, R.J., "Theory and optimizatio of lens ducts", Applied OPTics, April 20, 1996, Vol. 35, No. 12, (pp. 2005-2015) |
| | |
| | |

| | | | |
|---------------|--|---|------------|
| Examiner | /Peter Radkowski/ | Date Considered | 10/10/2008 |
| *Examiner: | Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | |
| Form PTO 1449 | | Patent and Trademark Office - U.S. Department of Commerce | |